





MAIN HOT TOPICS IN THE SECOND PART OF H2020:

THE EUROPEAN INNOVATION COUNCIL, RIS3 PLATFORMS AND WHAT ABOUT DEFENSE RESEARCH

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SOST

SOST-CDTI, Spanish Office for Science & Technology

SOST today...



- □ 20 years-old, SOST is the branch of the **National Funding Agency** for Industrial R&D in Spain, CDTI Ministry of Economy & Innovation.
- □ SOST provides support on R&D&Innovation programmes to the Spainsh participants → Technology & Scienfitic advice, information on funding opportunities, logistics in Brussels, lobby with EC and other EU stakeholders, capacity building programmes for private and public actors willing to participate in EU R&D programmes → Our customers are companies, universities, technology centers, clusters...
- ☐ Orientation on **legal & finantial issues** of H2020 (participation rules, grants & consortium agreements, IPR,...).
- ☐ Link with the Spanish **regional agencies** on R&D&Innovation.
- ☐ Not only **H2020**, but also other synergic programmes...







Building capacities:

Training specialists in the International R&D and innovation area

□ WHAT → Capacity building & Specialisation stage in Brussels (2 months at SOST-CDTI Office) → Concourse aimed at experienced/senior staff of entities with special interest/role in H2020.

□ WHO → We are looking for:

- ✓ Professionals with proven experience in EU R&D and innovation projects (FP7 & H2020);
- ✓ More « Promotor » than administrative managers;
- ✓ Preferably, with certain executive capacity inside the organisation → Major impact at his/her return...

Developing skills for more influence & visibility of their organisation!



More than **450 applicants** in **23 editions** since 2009 → About **160 promotors** awarded!







Description...

Each edition awards 6 entities with a travel award of 5.000 € / pp to stay in the SOST-CDTI office in BRU during 2 months.

- ✓ 3 Editions / year → Feb-Mar; MayJun; Oct-Nov
- ✓ Stages of 8 weeks (= 2 months)
- ✓ Collaboration agreement signed by the beneficiary entity with CDTI.



8 Weeks

Training phase (2 weeks)

Individual work-programme phase (6 weeks)

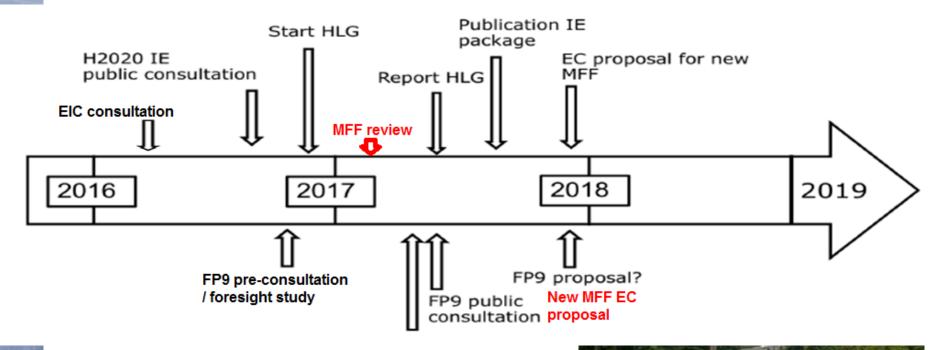






The road to FP9....

Walking towards FP9: The time line...







Walking towards FP9: The starting point...

- ✓ A new boost for jobs, growth and investment (EFSI)
- ✓ A connected digital single market
- ✓ A resilient Energy Union with a forward-looking climate change policy
- ✓ A deeper and fairer internal market with a strengthened industrial base
- ☐ A deeper and fairer Economic and Monetary Union (EMU)
- ☐ A reasonable and balanced free trade agreement with the US
- ☐ An area of Justice and Fundamental Rights based on mutual trust
- ☐ Towards a new policy on migration
- ☐ Europe as a stronger global actor
- ☐ A Union of democratic change







Walking towards FP9: The starting point...



EUROPEAN COMMISSION

Towards the Juncker Commission

European Commission > About the European Commission > Towards the Juncker Commission > 10 Priorities

Section contents

10 Priorities

- Jobs, Growth, Investment
- Digital Single Market
- > Energy Union
- Internal Market
- Economic & Monetary
 Union
- > Free Trade
- > Justice & Fundamental Rights
- > Migration
- > A Global Actor
- > Democratic Change
- > More on my priorities



A New Boost for Jobs, Growth and Investment

My first priority as Commission President will be to strengthen Europe's competitiveness and to stimulate investment for the purpose of job creation. I intend to present, within the first three months of my mandate and in the context of the Europe 2020 review, an ambitious Jobs, Growth and Investment Package worth €300 billion.



A Connected Digital Single Market

I believe that we must make much better use of the great opportunities offered by digital technologies, which know no borders. To do so, we will need to have the courage to break down national silos in telecoms regulation, in copyright and data protection legislation, in the management of radio waves and in the application of competition law.



A Resilient Energy Union with a Forward-Looking Climate Change Policy

Current geopolitical events have forcefully reminded us that Europe relies too heavily on fuel and gas imports. I therefore want to reform and reorganise Europe's energy policy into a new European Energy Union. We need to pool our resources, combine our infrastructures and unite our negotiating power vis-à-vis third countries. We need to diversify our energy sources, and reduce the high energy



A Deeper and Fairer Internal Market with a Strengthened Industrial Base







Walking towards FP9: The starting point...

Open Innovation, Open Science and Open to the World - policy priorities set by Commissioner Moedas

Open Science

- ✓ Open Access
- ✓ Open Data and European Open Science Cloud
- ✓ Research Integrity
- ✓ Inclusiveness & Citizen Science



Open Innovation

- ✓ European Innovation Council
- ✓ Venture capital funds of funds
- Pro innovation Regulatory Environment
- ✓ Boosting Private Investment
- ✓ Maximizing Impact of EU funding

Open to the World

- Leading & working with multilateral initiatives & international organisations
- Better framework conditions for scientific cooperation
- Science diplomacy and synergies with the EU's external policies





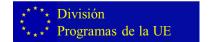


Walking towards FP9: Some boundary conditions... the MFF

Up to the moment, the MFF (Multiannual Finantial Framework) has been so far:

- 1988-1992: Delors I (5 years)
- 1993-1999: Delors II (7 years)
- 2000-2006: Agenda 2000 (7 years)
- 2007-2013: Building our common future (7 years)
- 2014-2020: Investing in Europe's future (7 years)





Walking towards FP9: Some boundary conditions... the MFF

Different options for MFF duration from 2021 onwards are under discussion:

- □ OPTION 1 → 5-year period. The shorter the period, the higher the flexibility. But, negative impact on multiannual programmes such as SF, agriculture and TENs.
- □ OPTION 2 → 7-year period. The longer the period, the higher the stability (specially for longer investment programmes such as infrastructures, research & regional policies). But, such a long period would need a strong mid-term review.

Briefing MID-TERM REVISION OF MFF 2014-2020: **BACKGROUND NOTE** Duration of the next MFF MFF) period after 2020: history, background, state of play and different options. The The duration of the next MFF periods was one of the fundamental questions for the European Parliament during the negotiations for the current MFF. As part of the final agreement, the institutions agreed to jointly examine the most suitable duration for the subsequent MFF in the context of the mid-term revision, before the Commission presents its proposals The European Parliament got the first significant powers over the EC budget in the 1970s (final say on non-compulsory expenditure and right to reject the budget). During the 1980s, there were fierce battles between the institutions on the annual budgets. The concept of a multiannual financial perspective was developed as an attempt to lessen conflict, enhance budgetary discipline and improve implementation through better planning. The first multiannual financial perspective The Treaty of Lisbon transformed the multiannual financial framework from an interinstitutional agreement into a legally binding act. The Lisbon Treaty (article 312 of the TFEU) also foresees a minimum duration for the MFF of five years instead of seven years. Policy Department D - Budgetary Affairs Author: Minna Olikainen

□ OPTION 3 → 5+5 -year period. A major reprioritisation, if sufficient flexibility is guaranteed. But, this option should be combined with a maximum level of flexibility and very strong review clauses.







Walking towards FP9: Some boundary conditions... the MFF

2015 EU budget 145bn euros: Contributions by country (%)



Source: European Commission/HM Treasury







H2020 MidTerm Review....

Interim Evaluation of H2020... Some Outcomes

 \square Simplification & Coherence \rightarrow e.g. e-signatures, Participant Portal, single reimbursement rate, flat rate for indirect costs, ... ☐ Continuing focuss on impact → Challenge-based approach; funding all the way from lab to market; enhanced involvement of business (SMEs); impact-oriented call texts; expected impact to be spelled out in proposals; impact looked at in evaluation; regular reporting and monitoring.... □ Success rate ■ Synergies and coordination with SF & RIS3 ☐ More industrial participation → Specially in Societal Challenges but continue the private sector involvement in the Industrial Leadership ☐ EU added value → Collaborative vs mono-beneficiary schemes ☐ New actors comming, i.e., Defense Research → Debate in/out of the FP9



Brussels 13 6 2017

CORRIGENDUM

This document corrects document SWD(2017) 221 final of 29.5.2017

Amendment of footnotes 1, 21, 65. Replacement of paragraph on Marie-Slodoswka-Curie Actions page 24. Addition of reference to European Institute of Innovation and Technology

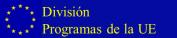
The text shall read as follows

COMMISSION STAFF WORKING DOCUMENT

INTERIM EVALUATION

HORIZON 2020

(SWD(2017) 220 final)



Interim Evaluation of H2020... HLG of Independent experts



Mandate of the High Level Group on maximising impact of EU Research and Innovation Programmes

- To formulate a vision for future EU Research and Innovation (R&I)
- 2. Draw strategic recommendations on maximising the impact of EU R&I programmes in the future, i.e. how to fulfil that vision.

Report public presentation on 03-Jul-2017, BRU



Prof. Nevenka Maher



LAB - FAB - APP

Investing in the European future we want

Report of the independent High Level Group on maximising the impact of EU Research & Imovation Programmes



Interim Evaluation of H2020... HLG of Independent experts

- **1. Prioritise R&D&I** in EU and national budgets → Action: **double the budget** of the post-2020 EU research and innovation programme.
- **2.** Build a true **EU innovation policy** that **creates future markets** → Action: Foster ecosystems for researchers, innovators, industries and governments; promote and invest in innovative ideas with rapid scale-up potential through a European Innovation Council.
- **3. Educate for the future** and invest in people who will make the change → Action: **modernise**, reward and resource the **education** and training of people for a creative and innovative Europe.
- **4.** Design the EU R&I programme for **greater impact** → Action: make the future programme's pillars driven by purpose and impact, fine-tune the proposal evaluation system and increase flexibility.
- **5.** Adopt a mission-oriented, impact-focused approach to address global challenges → Action: set research and innovation missions that address global challenges and mobilise researchers, innovators and other stakeholders to realise them.





Interim Evaluation of H2020... HLG of Independent experts

- **6.** Rationalise the EU funding landscape and achieve synergy with structural funds
- → Action: cut the number of R&I funding schemes and instruments, make those remaining

Reinforce each other and make synergy with other programmes work.

- **7. Simplify further** → Action: become the most attractive R&I funder in the world, privileging impact over process.
- **8**. Mobilise and **involve citizens** → Action: stimulate co-design and co-creation through citizen involvement.
- **9. Better align EU and national** R&I investment \rightarrow Action: ensure EU and national alignment where it adds value to the EU's R&I ambitions and missions.
- **10. Make international R&I cooperation** a trademark of EU research and innovation → Action: open up the R&I programme to association by the best and participation by all, based on reciprocal co-funding or access to co-funding in the partner country.
- **11.** Capture and **better communicate impact** → Action: brand EU research and innovation and ensure wide communication of its results and impacts.





And the last report... Beyond the Horizon: foresight in support of future EU Research and Innovation Policy (BOHEMIA)

Report in 3 phases performed by several R&D&Innovation Stakesholders in EU aiming to support the deliberations on future Commission proposals for FP9.

- Phase 1: A literature review and synthesis into a set of scenarios that aim to sketch possible future settings and boundary conditions for the development of future R&I policy and funding. → Completed.
- □ Phase 2: The second phase involves a Delphi survey to gain new insights into future technologies, societal issues, and R&I practices. → Completed.
- □ Phase 3: It will involve analysis and the deriving of policy recommendations, building on the scenarios, the results of the Delphi and on consultation and public engagement with the findings. → Final report before the end of 2017.

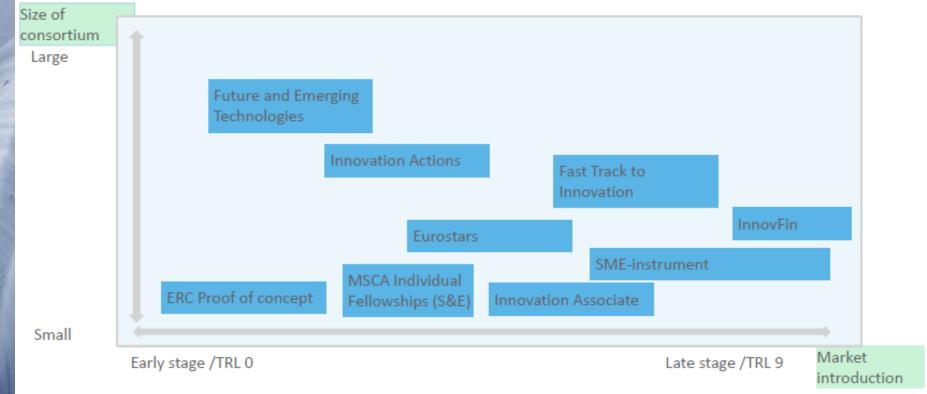




1st Hot Topic: The European Innovation Council, EIC

From the Interim Evaluation of H2020...

Innovation support in H2020







Policy context for the EIC: New focus on importance of innovative firms scaling up for growth and jobs...

"To improve innovation support, the Commission intends to make changes for the remaining period of Horizon 2020 to provide bottom-up support for breakthrough innovation projects, and will consider reinforcing this approach in future through a possible European Innovation Council"

Key elements:

- ☐ Adopting a **fully 'bottom-up' approach**
- ☐ Making it **easier for start-ups** to access financial and technical support
- ☐ Targeting market-creating, breakthrough innovations with

scale-up potential





EU R&D&Innovation in a global context...

Inicorn = Innovative tech company with high market capitalization (typically, valued above the 1 B€ threshold)... usually young IT-centered company, but not only!

...but losing the race on scaling up market-creating innovation



On scaling up:

US: 101 Unicorns

China: 36 Unicorns

EU: 19 Unicorns

'Unicorns' are start-ups with market value > \$1 billion Source: Fortune, 'The Unicorn list 2016'

On disruptive, market-creating innovation:

- Innovations that create new markets and jobs not just improving existing products and services, not just tech (also business model, user based)
- Major new markets led by non-EU companies: Google, Apple, Facebook,
 Amazon, Tesla, Netflix etc.







EIC... Creating a HLG of Innovators

Establishment of 15-strong High Level Group of Innovators (20-Jan-2017):

- ☐ To provide a **user perspective on potential reforms**, brings together leading personalities from across Europe with a wide range of expertise in business innovation, including entrepreneurs, CEOs, investors and other major players in the innovation ecosystem
- □ Will advise on (1) implementation of preparatory measures in WP 2018-20; (2) design of a possible European Innovation Council, to be included in the proposal for FP9
- ☐ Will interact with Lamy Group
- ☐ Meetings on 10-Mar, 20-Jun & 06-Oct.





The European Innovation Council

Second meeting of the High Level Group of Innovators





EIC... Creating a HLG of Innovators

Some findings regarding...

- ✓ Selection and funding → There are differences between digital and R&D-based companies, but all need for flexibility to address the scale-up needs of different types of companies.
- ✓ Ecosystems → Identification of the different actors (startups, accelerators, VCs, governments etc) to **bring them together** (conferences, networks, etc).
 - The idea is to learn on how to facilitate the development of a European ecosystem, talent-base, with connections with the national ecosystems and to improve the access to finance.
- ✓ **Visibility & monitoring** → **Better communication** potential of prizes and better awareness of both successes and failures in the field of research and innovation.
- \checkmark User-friendly support \rightarrow To facilitate and improve the funding process, such as, interactive guidance to potential beneficiaries (self-diagnostic tool), shorter submission forms, the use of Al-assisted pre-screening, changes to the evaluation and selection processes in interaction with other funders, payments of lump sums, etc..





EIC... In practical terms

The EIC involves 4 already existing H2020 instruments selected for their complementarity and potential to support breakthrough, market-creating innovation:

- ☐ **SME instrument**, which supports innovation projects in individual SMEs through a staged approach
- ☐ Future and Emerging Technologies (FET)-Open, which addresses early-stage technology-based projects through a bottom-up scheme
- The Fast Track to Innovation (FTI), which addresses industry-led consortia seeking quick market uptake of new solutions
- ☐ **Prizes**, both recognition and inducement prizes which will promote breakthrough innovation in areas where an ambitious goal with high potential impact can be defined.

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EIC... In practical terms

For all the 4 already existing H2020 instruments selected:

- ✓ Coaching, mentoring & innovation radar tools →
 Venture capital, patents, expert assessments...
- ✓ User-friendly EIC website & Single WP-EIC → Navigation tool ('wizard'), which will help potential applicants decide which fund to apply for based on their profile.

EIC WP draft of 7 September 2017

Horizon 2020 Work-Programme 2018-2020

Towards the next Framework Programme for Research and Innovation:

European Innovation Council (EIC) pilot

This draft has not been adopted or endorsed by the European Commission. Any views expressed are the preliminary views of the Commission services and may not in any circumstances be regarded as stating an official position of the Commission. The information transmitted is intended only for the Member State or entity to which it is addressed for discussions, and may contain confidential and/or privileged material.

European Commission Decision C(2017)xxxxx of 27 October 2017







EIC... In practical terms: The SMEs Instrument

Fully bottom-up, no predefined topics, so innovative projects that cut across sectors/technologies become eligible for support (unique budget line)

- □ Expanded pool of **evaluators** with relevant technological/scientific expertise as well as financial/commercial backgrounds grouped according to their thematic expertise → Ensure thematic diversity through evaluators with thematic expertise, close and continuous monitoring, and remedial action if needed
- Revise evaluation **criteria** to better target the scaling up of SMEs with the greatest potential for breakthrough, market-creating innovation (business capacity, international growth ambition)
- Expand coaching to include financial issues & also to start with mentoring
- ☐ Interviews in the 2nd phase





EIC... In practical terms: FET OPEN

- ☐ Tools addressed to **early-stage technology-based projects** & bottom-up scheme.
- ☐ Two types of projects targeted:
 - ✓ Projects with an **innovation potential** that will be further implused by the different tools of the EIC in order to make them approach to the market and growth.
 - ✓ Projects which focus on **high-risk science** will be targeted for potential follow up with FET Pro-active scheme.

No change to FET-Open governance mechanisms. \rightarrow Text will appear in the EIC workprogramme and in the FET workprogramme.





EIC... In practical terms: Fast Track to Innovation, FTI

- □ Projects bottom-up, commercially driven, easily accessible grant support for RDI (in particular support for scaling up successfully tested innovations) & high-potential growth businesses
- ☐ FTI Pilot last 2015-2016 (strong support by EP) → Prolongation in the second part of H2020 with the same annual budget & arrangements...

Assessement on the FTI: "EU added value derives principally from bringing to market innovations that would otherwise remain in the development phase for longer periods of time, and in some potential cases being overtaken by innovations by competitor regions."





EIC... In practical terms: Prizes

New approach in 2018-2020:

- Political opportunity to create greater impact, public awareness and promote role models
- Reach out to a variety of new talents and innovators
- Incentivise breakthrough market creating innovation e.g. by tackling the societal challenges of greatest importance to European citizens

Prize topics identified through collaboration across the Commission DGs \rightarrow Criterias:

- Responding to societal challenges
- Transformative objective in line with the EIC concept
- > Feasible yet ambitious targets/implementable within the timeframe
- > Easy to communicate
- Leverage effect increasing private investment on innovation
- > Expected result **not achievable with other instruments**
- > Reaching potentially **new innovators**

Key prizes to be financed by thematic budgets, to be presented in EIC Work Programme Chapter



EIC... In practical terms: **Example of Prize**



Seamless authentication for all - € 4 million

Introduction Rules & Guidance Start Submission Contact us

Simple, secure and privacy-friendly authenticating method for people and their smart objects to prove their identity, relationship and privileges.

In the era of Internet of Things (IoT), people (of all ages, physical capabilities and educational backgrounds) and their smart objects (e.g. smart mobile devices and household appliances, wearable gadgets, smart cars) often need to authenticate, i.e prove their relationships, identities and privileges. The current technologies and processes to authenticate are complex, bringing burden to people who want to build a trusted chain with their smart objects in order to interact with the IoT ecosystems and use digital services holistically.

This Horizon Prize aims to award the best and most innovative user authentication solutions, with a total budget of 4M EUR, offering 3 (three) awards as follows:

- 2 800 000 EUR for the winner(s)
- 700 000 EUR for the 1st runner-up
- 500 000 EUR for the 2nd runner-up



2nd Hot Topic: The RIS3 Platforms

S3 Platforms... Why?

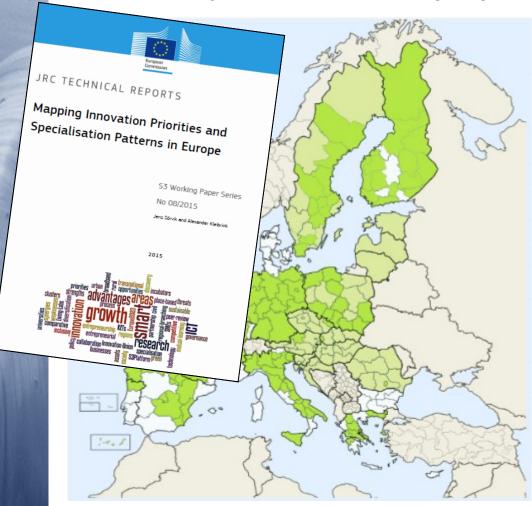
- □ <u>Effective</u> spending of scarce resources → Using a «priority setting methodology» → «The S3 should be part of <u>national</u> innovation strategies, not only regional and not restricted to use of structural funds!»
- More <u>impact</u> of investment in R&I on economic development
 → Focus on:
 - ✓ Knowledge economy based on local strengths
 - ✓ Bridge the innovation gap by matching research and industry specialisations
- □ Better <u>coordination</u> of funding across Europe → In order to:
 - ✓ Avoid fragmentation, sub-critical investments in R&I
 - ✓ True European added-value by using strengths of all regions
 - ✓ Not top-down but bottom-up alignment of complementary actors





S3 Platforms... The philosophy behind

MAIN objective: Connecting regions with similar priorities areas...



Regions identified smart specialisation priority areas for ESIF investments in RDI

	EU28 PRIORITIES	
RIS3 priority		0/
	count, n	%
AGRO-FOOD related	271	22%
KETs as transversal theme	267	21%
HEALTH related	192	15%
ENERGY related	178	14%
DIGITAL AGENDA related	144	11%
ENVIRONMENT related	59	5%
CREATIVE/CULTURAL INDUSTRIES		
related	48	4%
TRANSPORT AND LOGISTICS related	38	3%
SOCIAL INNOVATION,		
ORGANISATIONAL MODELS,		
OTHERS	25	2%





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S3 Platforms... The philosophy behind



- The **objective** of the S3 Platforms are:
- ☐ Accelerate modernisation of innovation systems
- ☐ Develop **tailored support** for innovation in different territories
- ☐ Increase interregional cooperation to connect investments (using complementarity)







S3 Platforms... The philosophy behind



Implementation of RIS3 in priority areas

Energy

Interregional cooperation for critical mass & avoiding duplication

1st wave of
Thematic
Smart Specialisation
Platforms

Smart Specialisation Investments

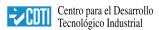
Agro-Food

Industrial Moderni-sation

European
strategic
growth areas

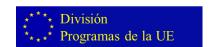






S3 Platforms... The existing ones

ENERGY		
DG REGIO & DG ENER & DG JRC		
Marine Renewable	Basque Country, Andalusia, Asturias, Navarra, (ES), Scotland (UK), Brittany,	
Energy	Normandie (FR), Dalarna, Skåne (SE), Emilia-Romagna, Lombardia (IT),	
	Flanders (BE), Norte (PO), Ostrobothnia (FI), Southern Denmark (DK), Sogn	
	og Fjordane (NO).	
Sustainable	Andalusia, Navarra (ES), Norra Mellansverige y North Midsweden (SE),	
construction	Lapland (FI), Gloucestershire County (UK), Gorenjska (SI), Rzeszow (I	
	North-West Croatia(HR), Jämtland (SE), Lithuania.	
Bioenergy	Lapland, North Karelia, South Savo, East and North Finland (FI), Castilla y	
	Leon, Extremadura, Asturias (ES), Western Macedonia (GR), Eastern	
	Norway (NO), North East Romania (RU), Norrbotten, Jokkmokk (SE).	
Smart Grids	Pomorskie (PO), Algarve (PT), Bretagne, Provence-Alpes-Côte d'Azur (FR),	
	Northern Netherlands (NE), South Trans-Danubian (HU), Andalusia, Basque	
	Country (ES), North Midsweden (SE), West Finland (FI).	







S3 Platforms... The existing ones

AGRI-FOOD		
DG REGIO & DG AGRI & DG RTD 1 DG JRC		
High Technology	Tuscany, Galicia, Extremadura, Gelderland, Central Macedonia, Marche,	
Farming	Galicia, Lazio, West Macedonia, The Netherlands, Zuid Holland, Limburg,	
	East Sweden, Tuscany, Lazio, West Macedonia, Auvergne Rhone-Alpes,	
	Galicia, Weser-Ems	
Traceability and Big	Andalusia, Sardinia (IT), Basilicata (IT), Emilia-Romagna (IT), Friulli-Venezia-	
Data	Giullia (IT), Northern Ireland (UK), Ribatejo (PO), Epiro (GR), The Basque	
	Country (SP), Navarre (SP), South Savo (FI) and South Ostrobothnia (FI).	
Bioeconomy Pilot -	Randstad (NL), Lombardy (IT), East-Netherlands (NL), Emilia-Romagna (IT),	
Agri-Food	South-Netherlands (NL), Scotland (UK), North-Netherlands (NL), Tampere	
	(FI), Flanders (BE), West + Central Finland, Wallonia (BE), North-Rhine-	
	Westphalia (DE), Skane (SE), Andalusia (ES), Värmland (SE), Navarra (ES),	
	Brandenburg (DE), Basque Country (ES), Baden-Württemberg (DE),	
	Lodzkie (PL), Asturias (ES), Malopolska (PL), Basilicata (IT)	







S3 Platforms... The existing ones

INDUSTRIAL MODERNISATION

DG REGIO & DG GROW & DG RTD & DG JRC

Programas de la UE

DG REGIO & DG GROW & DG RID & DG JRC		
Advanced manufacturing for	Basque Country and Scotland, Asturias, Andalucía, Dalarna, Emilia-	
energy applications	Romagna, Flanders, Lombardia, Navarra, Norte, Ostrobothnia, Skane and	
	Syddanmark.	
Bio-Economy - innovative use of	Lombardy Region and Randstad region, Flanders, Värmland, Scotland,	
non-food Biomass	Asturias, Nordrhein Westfalen, East Netherlands and Navarra	
Efficient and Sustainable	Lombardy and Catalonia, Scotland, Saxony, Norte, Basque Country,	
Manufacturing	Flanders, Nord Pas de Calais, Norte Emilia Romagna, Tampere, Skane,	
	Baden Wurttemberg,	
High Performance Production	Flanders, South Netherlands and Nort; Asturias (ES), Aragon (ES),	
through 3D-Printing	Catalonia (ES), Andalusia (ES), Skåne (SE), Orebrö Lan (SE), Tampere (FIN),	
	Baden-Wurttemberg (DE), Nord Rhine Westphalia (DE), Thuringen (DE),	
	Saxony (DE), Wallonia (BE), Auvergne Rhône-Alpes (FR), Hauts de France	
	(FR), Randstad (NL), Emilia-Romagna (IT), Lombardy (IT), Upper Austria	
	(AT) and Malopolska (PL).	
New Nano-Enabled Products	Skåne and Tampere, Asturias, North Rhine-Westphalia, Baden-	
	Württemberg, Rhône-Alpes, Emilia-Romagna, South-Netherlands,	
	Flanders, Wallonia, Navarra, East Netherlands	
Innovative Textiles for creative	Valencia, North-East Romania, Catalonia, Nord Pas de Calais, West-	
industries	Flanders, Basque country	
Industry4.0 for SMEs / Production	Tuscany, Castilla y Leon, Valentia, Catalonia, Hungary; Slovenia,	
performance monitoring system	Mazowiecke, Catalonia, Estonia	
**** División	O (OA/AO/OOAZ)	

S3 Platforms... Activities that they perform

Taking as inspiration the Vangard Initiative...

- 1. Coalitions of committed partners: Politically committed leadregions + active partners (leading-by-example)
- Mobilising bottom-up cluster actors for 'discovery' of new business opportunities (not only policy!)
- Exploit complementarities between RIS3 investments for co-creating EU value-chains with S3 partnerships
- Organising shared spaces for joint demonstration **solutions** (not research) / aligning innovation investments
- Outward perspective for RIS3: positioning of own priorities & upscaling regional innovation efforts





S3 Platforms... Activities that they perform

P.e., for preparing co-investments... what would be the workflow?

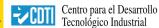
- **Expression of Interest**
- Scoping Note, for the establisment of a partnership in a thematic targeted area (vision/actions)
- 3. Mapping Exercise, where it is important targeting specific value chains: identification of business and research actors and of innovation support infrastructure
- **4. Matchmaking Events** → To bring targeted actors together
- 5. Preparing & implementing coinvestment projects

Thematic Area for **Industrial Modernisation** Mapping of competences & matching of business opportunities **Business cooperation** and design of projects **Business Plan & Funding Mix Investment Projects**

- **Demonstrators**
- **Bundled small projects**
- Single cross-regional large scale project
- Large individual projects







S3 Platforms... What is the support given by EC?

And what is the EC role? → S3 Platform to **facilitate** process, i.e.,

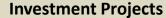
- □ Combining instruments to accelerate S3 investments → P.e, through the « Joint Programming ».
- □ Structural dialogue between Partnerships and EC services → First Meetings of Steering Committee
- □ DGs supporting → Regio, JRC, Grow, RTD, CNECT, Emploiment + AGRI/ENER/ EAC

Thematic Area for Industrial Modernisation

Mapping of competences & matching of business opportunities

Business cooperation and design of projects

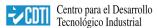
Business Plan & Funding Mix



- Demonstrators
- Bundled small projects
- Single cross-regional large scale project
- Large individual projects







S3 Platforms... What's on



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Pilot Calls on industrial transition and interregional cooperation

@ 31 Oct 2017

On 18th July, the European Commission adopted the Communication "Strengthening innovation in Europe's regions: towards resilient, inclusive and sustainable territorial growth strategies" (COM(2017)376 final), in the frame of which the Commission proposed launching two pilot actions by the end of 2017 on "Interregional innovation projects" and "Industrial transition regions".

1) Call for Expression of Interest for Regional Authorities to Participate in a Pilot Action on Industrial Transition

DG REGIO seeks expressions of interest from national and regional authorities, at the appropriate geographical level and at least at NUTS level 2, willing to work on the basis of their respective smart specialisation strategies in order to promote broad-based innovation to address the challenges of industrial transition.

Call for every realize of interest fee regional authorities to participate in a pilot action on industrial transition

Deadline: 31 October 2017, 23:00h Brussels time

(2) Call for Expression of Interest for Thematic Partnerships to Pilot Interregional Innovation Projects

DG REGIO seeks expressions of interest from transnational partnerships of regional authorities, which include regional si that are willing to pilot interregional innovation partnerships aimed at facilitating the commercialisation and scale-up of investments.

Call for expression of interest for thematic partnerships to pilot interregional innovation projects 📆

Deadline: 31 October 2017, 23:00h Brussels time.



1-2 June 2017

S3 Platforms... What's on

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SMART SPECIALISATION PLATFORM



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Call for Expression of Interest for Thematic Partnerships to Pilot Interregional Innovation Projects

@ 31 Oct 2017

S3 Thematic Platform

General Information

On 18th July, the European Commission adopted the Communication "Strengthening innovation in Europe's regions: towards resilient, inclusive and sustainable territorial growth strategies" (COM(2017)376 final) which called for intensified efforts to reform regional innovation systems, including through making better use of policy instruments such as clusters or digital innovation hubs to support research and business collaboration. In the frame of this initiative the Commission proposed launching two pilot actions by the end of 2017 on "Interregional innovation projects".

The EU has a crucial role to play in supporting all regions and Member States to activate their potential for innovation, competitiveness and sustainable jobs and growth.

In recent years the Commission has called on national and regional authorities to develop smart specialisation strategies for research and innovation. The aim was to encourage all European regions to identify their specific competitive advantages, as a basis for prioritising research and innovation investment under cohesion policy in the 2014-2020 programming period.

Deadline: 31 October 2017, 23:00h CET





3rd Hot Topic: What about Defense Research

The Preparatory Action (PA) on CSDP-related research was decided by the European Commission with one main objective in mind: to demonstrate the added-value of EU-funded research in the defence sector.

[...]

The management and implementation approach applied and tested under the Preparatory Action could also become a template for the future European Defence Research Programme (EDRP) after 2021.

De PADR is focussed on:

- ✓ Demonstrate and assess added-value of EU supported defence R&T
- ✓ Results should foster further cooperation between MoDs and between EU defence industries
- ✓ Prepare for a basic act to launch a substantial defence research programme from 2021 onwards











Preparatory Action on Defence Research: 2017 research topics description

Published on: 12/04/2017, Last update: 19/04/2017

The European Commission adopted the 2017 Financing Decision for the Preparatory Action on Defence Research (PADR) on 11 April. It includes descriptions of 3 topics for which calls for proposals will be launched in late May.

ನ

Topics

Defence industries

The main focus of the PADR 2017 is the first topic which calls for the launch of one complex project. The project aims to show the added value of unmanned systems in enhancing situational awareness while operating alongside and communicating with other manned and unmanned systems.

In the context of technology research, products related to force protection and soldier systems, there is a second topic.

This second topic focuses on:

- future generic open soldier system reference architecture
- technology advancements in tailor-made blast, ballistic and Chemical, Biological, Radiological and Nuclear (CBRN) protection of military personnel
- novel developments in active and passive military camouflage methods.

Finally, the third topic will call for proposals to start the strategic technology foresight. It needs to propose and validate a methodology and/or process to gather data. These foresight activities will be carried out on a recurring basis. They will be used to develop realistic scenarios of potential future conflicts which will help scoping EU-funded defence research.

The Preparatory Action on Defence Research is the first step towards a future European defence research programme. The budget for the PADR related actions in 2017 is €25 million. Its main implementation will be through grants. The call for proposals and the participation modalities will be published in late May.

Related news

- A European Defence Fund: €5.5 billion per year to boost Europe's defence capabilities
- Business Planet: Business opportunities with dual-use technologies
- Galileo goes live!
- Improving the access of SMEs to cross-border defence procurement
- ☐ Commission proposes Action Plan to boost research and innovation of European defence industry for long term security

Related documents:

PADR Financing Decision of 11 April 2017 with Annex: 2017 Work Programme with description of the actions









RESEARCH & INNOVATION





Calls for Proposals









In 2017 only 3 specific calls específicas but new future calls for Critical Defense Technologies; Interoperability & Standarisation; Future Disruptive Technologies for 2018 and 2019.







Secure Societies H2020 vs PADR In practice...

- ☐ The PADR does not follow exactly the rules of H2020:
 - ✓ All the MMSS & Norway (entities based and with activity in these countries... not EU branches from non-EU countries).
 - ✓ Collaborative projects with 3 participants from 3 independient organisations froms that MMS & Norway... But the min is 5 for the demonstration projects.
 - ✓ All actions 100% funded and & 25% indirect costs.
 - ✓ No ethical scrutiny but YES Security scrutiny made by MoDs.
 - ✓ Proposal template is not exactly the same tan in H2020 → Quicker evaluation = 3 months. → GA signed by end this year!
 - ✓ Evaluadors are well from a list proposed from the MoDs, well from a data base of independent experts at the EDA website.
 - ✓ MoDs can be minor beneficiaries from EU budget for, i.e., to put "facilities & test-bed scenarios" to the consortium, find synergies with already existing militar exercises, etc...





Secure Societies H2020 vs PADR Participación en la práctica

In any case... are the common things among SEC-H2020 & the PADR may be:

- □ There is NO NEGOCIATION after the evaluation of the proposals ("one -shot & one-stage") & it is "open" competition → No negotiations with the MoDs on what proposals neither on the consortium. → Only what you present is what is going to be evaluated!
- □ Topics with similar structure to H2020 and very oriented to the needs of the enduser (MoDs) → Specific Challenge, Scope, Expected Impact.

It is important to understand the needs of the end-user in order better to address the proposals and the demonstration scenarios!

□ UNDER DISCUSSION: Security-H2020 and Defense Research are aiming of continuing as a SEPARATED programmes within the next MFF...









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Preparatory Action on Defence Research: Information Day and Brokerage Event

Date of the Event: 27 June 2017 09:30 AM

Registration Starts: 24 May 2017

Registration Ends: 20 June 2017

The EDA and the European Commission would like to announce an Information Day on the Preparatory Action on Defence Research (PADR)[1] and a Brokerage Event in Brussels on 27 June, 2017, from 09:30 to 17:30. Registration will be possible from 24 May (12h00) until 20 June (23:59).

The PADR has the objective to test the added-value of the EU budget supporting defence research, in view of a potential EU programme in the next EU Multi-annual Financial Framework. The PADR will be implemented by the EDA through a Delegation Agreement to be signed between the EDA and the Commission on behalf of the European Union in 2017.









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Call for Experts for the Preparatory Action on Defence Research

Here you will find the information needed to successfully submit proposals for supplying products and services to the European Defence Agency.

Documents:



2017-Call for experts







Next steps...

- ☐ WP-2018 content (topics) about to be finished in the next weeks...
- ☐ Advisory board of the PADR has to be selected & published → in charge of, i.e., given long term visión, among others...
- Capability window for 2019-2020 with EU funds coming from Galileo, Copernicus & ITER (total of 230M€) to co-finance with MoDs and Industry... → No research!!!

European Defence Matters

COVER STORY COMMISSION PROPOSES EU DEFE.. ▼

NEXT ARTICLE











EUROPEAN NETWORK OF DEFENCE-RELATED REGIONS

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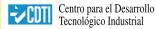
SAVE-THE-DATE: ENDR MEMBERS WORKSHOP, 26 OCTOBER 2017, BRUSSELS

0 1040 Brusse

remission will bring together the ENDR members in a workshop to evaluate ENDR's development up to that point, and discuss prospect and future activities. Members will

(24/10/2017)





Last but not least....

Keys for participating in H2020: Anticipation, networking & Strategy...

- ✓ Draft WPs 2018-2019 Already prepublished → Internal analysis and selection of the topics, what we can offer, identification of potential partners, networking...
- ✓ Contact with your NCPs but also stakeholders in Brussels: ETPs, EU-Parliament, SBRA,...



- ✓ Reinforce colaborations → Companies-University-Technology and Research centers... also public administrations (cities, public bodies, end-users...)
- ✓ Planning to go to infodays & networking events...





Next Work Programme 2018 - 2020

Preparations for the work programme 2018-2020 began in 2016 with stakeholder consultations and discussions with Member States on priorities, which have been outlined in informal working documents, namely 17 thematic scoping papers and one overarching document. The adoption and publication of the work programme are expected in October 2017.

Each thematic section organised the stakeholder consultations in the way that is best suited to the respective subject and the respective target groups.

Work has included open public consultations via 'Your Voice in Europe' in areas where also citizens could directly contribute (Societal challenge 'Food' and 'Science with and for society') as well as open consultations using other platforms for ICT related issues.

Dedicated written consultations targeted at respective stakeholder groups, European networks and international bodies as well as several specific events were organised.

The results of recent stakeholder consultations on related policy initiatives (e.g. Digital Single Market, Energy Union, 'call for ideas' for the European Innovation Council etc.) were also taken into account.

More section-oriented information on the consultations and the preparation of the current and the next work programmes can be found for the following topics.

(N.B. Draft work programme parts are made public before the adoption of the work programme 2018-2020 to provide potential participants with the currently expected main lines of this work programme. Only the adopted work programme will have legal value.)

- Excellent Science
 - · Future and Emerging Technologies, DRAFT work programme published
 - Marie Skłodowska-Curie actions, DRAFT work programme published
 - · Research Infrastructures, including e-Infrastructures, DRAFT work programme published
- Leadership in Enabling and Industrial Technologies, DRAFT Introduction published
 - Nanotechnologies, Advanced Materials, Biotechnology, and Advanced Manufacturing and Processing (NMBP), DRAFT work programme published
 - · Information and Communication Technologies, DRAFT work programme published
 - Space, DRAFT work programme published
- Innovation in SMEs, DRAFT work programme published
 Access to Risk Finance, DRAFT work programme published
- Societal Challenges
 - Societal Challenge 1, DRAFT work programme published (Health, demographic change)

Many thanks for your attention...

Najlepša hvala za vašo pozornost...

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